## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Reese and White

Art Unit:

1631

Application No.:

10/552,665

Examiner:

Carolyn Smith

Filing Date:

October 11, 2005

Conf. No.:

2598

Title:

METHODS OF SELECTION, REPORTING AND ANALYSIS OF GENETIC

MARKERS USING BROAD-BASED GENETIC PROFILING

**APPLICATIONS** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# REQUEST FOR CORRECTION OF FILING RECEIPT

Sir:

This Communication is submitted to request correction of the filing receipt in reference to the above-identified application. The data requiring correction is indicated on the attached copy of the filing receipt and herein as follows:

Please correct the title of the application from:

"Methods of selection, reporting and analysis of genetic markers using <del>borad</del>-based genetic profiling applications" to

"Methods of selection, reporting and analysis of genetic markers using <u>broad</u>-based genetic profiling applications"

In re Application of:

Reese and White

Application No.: 10/552,665 Filed: October 11, 2005

Page 2

**PATENT** Atty Docket No.: OM1100-2

It is respectfully requested that the correction be made of record and a corrected filing receipt be issued.

No fee is deemed necessary in connection with the filing of this paper. However, if any fee is required, the Commissioner is hereby authorized to charge the amount of this fee, or credit any overpayments, to Deposit Account No. <u>07-1896</u>, referencing the above-identified attorney docket number.

Respectfully submitted,

Date: June 19, 2009

Karin M. Gerstin, Ph.D. Registration No.: 54,119 Telephone: (858) 638-6961

Facsimile: (858) 677-1465

DLA PIPER LLP (US) 4365 Executive Drive, Suite 1100 San Diego, California 92121-2133 **USPTO CUSTOMER NO.: 28213** 



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P. Dex 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

FILING OR 371 ART UNIT FIL FEE REC'D APPL NO. ATTY.DOCKET NO **DRAWINGS** TOT CLMS IND CLMS (c) DATE 10/552,665 10/11/2005 1637 1305 22966-81050 12 26 5

**CONFIRMATION NO. 2598** 

33694 SIDLEY AUSTIN LLP ATTN: DC PATENT DOCKETING 1501 K STREET, N.W. WASHINGTON, DC 20005

**FILING RECEIPT** 

\*OC000000020162734\*

Date Mailed: 08/24/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Martin G. Reese, Oakland, CA: Charles White, Oakland, CA:

## Assignment For Published Patent Application

OMICIA INC., Emeryville, CA

Power of Attorney: The patent practitioners associated with Customer Number 33694.

### Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US04/10905 04/09/2004 which claims benefit of 60/461,740 04/09/2003 and claims benefit of 60/492,707 08/04/2003 \* (\*)Data provided by applicant is not consistent with PTO records.

#### Foreign Applications

If Required, Foreign Filing License Granted: 08/23/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/552,665** 

Projected Publication Date: 11/30/2006

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Methods of selection, reporting and analysis of genetic markers using berad-based genetic profiling applications

**Preliminary Class** 

435

### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier

license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).